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BEFORE THE ARIZONAL CORPORATION Commission 1 25 P 2 DOCKETED **COMMISSIONERS** 2004 NOV -2 A 11: 50 3 NOV - 2 2004 MARC SPITZER, Chairman AZ CORP COMMISSION WILLIAM A. MUNDELL 4 DOCUMENT CONTROL DOCKETED BY JEFF HATCH-MILLER 5 MIKE GLEASON KRISTIN K. MAYES 6 IN THE MATTER OF: DOCKET NO. S-03500-04-0000 7 JAMES L. OPPENHEIMER and SYDNEY J. 8 **OPPENHEIMER** 695 W. Bear Claw Lane Pinetop, AZ 85935 10 Respondents. PROCEDURAL ORDER 11 BY THE COMMISSION: 12 On September 28, 2004, the Securities Division ("Division") of the Arizona Corporation 13 Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against James L. 14 Oppenheimer and Sydney J. Oppenheimer ("Respondents"), in which the Division alleged multiple 15 violations of the Arizona Securities Act ("Act") in connection with the offer and sale of viatical 16 settlements. 17 The Respondents were duly served with a copy of the Notice. 18 On October 29, 2004, a request for hearing was filed for Respondents. 19 Accordingly, a pre-hearing conference should be scheduled. 20 IT IS THEREFORE ORDERED that a pre-hearing conference shall be held on November 18, 21 2004, at 11:30 a.m., at the Commission's offices, 1200 West Washington Street, Phoenix, Arizona. 22 day of November, 2004 23 24 MARC E. STERN ADMINISTRATIVE LAW JUDGE 25 Copies of the foregoing mailed/delivered

27 Robert D. Mitchell

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2201 E. Camelback Road, Ste. 122B

this ____ day of November, 2004 to:

28 Phoenix, AZ 85016

Attorneys for Respondents

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DOCKET NO. S-03500-04-0000

1	Matt Neubert, Director
2	Securities Division ARIZONA CORPORATION COMMISSION
3	1300 West Washington Street Phoenix, AZ 85007
4	ARIZONA REPORTING SERVICE, INC.
5	2627 N. Third Street, Ste. Three Phoenix, AZ 85004
6	<u> </u>
7	By: MAMMON
8	Molly Johnson Secretary to Marc E. Stern
9	Secretary to Ware E. Stern
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